

# Reading list

## **The NHS reforms**

**May 2014**

## Further copies

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## Contact details

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Website: <http://www.kingsfund.org.uk/library>

**NB You may also be interested in our reading list, *Introduction to the NHS in England***  
[http://www.kingsfund.org.uk/sites/files/kf/field/field\\_pdf/Library-reading-list-Introduction-to-the-NHS-Jul2012.pdf](http://www.kingsfund.org.uk/sites/files/kf/field/field_pdf/Library-reading-list-Introduction-to-the-NHS-Jul2012.pdf)

## **OFFICIAL DOCUMENTS**

*The following is just a small selection from all the relevant published policy and implementation documents. You can use the following links to access all the associated Department of Health, NHS England and Public Health England publications:*

- **Department of Health**  
<https://www.gov.uk/government/organisations/department-of-health>
- **NHS England**  
<http://www.england.nhs.uk/>
- **Public Health England** [an executive agency of the Department of Health]  
<https://www.gov.uk/government/organisations/public-health-england>

## **HEALTH AND SOCIAL CARE ACT 2012**

ISBN: 9780105407126

Great Britain. Parliament

### **Health and Social Care Act 2012 :Chapter 7.**

London : Stationery Office, 2012

*Web publication*

An Act to establish and make provision about a National Health Service Commissioning Board and clinical commissioning groups and to make other provision about the National Health Service in England; to make provision about public health in the United Kingdom; to make provision about regulating health and adult social care services; to make provision about public involvement in health and social care matters, scrutiny of health matters by local authorities and co-operation between local authorities and commissioners of health care services; to make provision about regulating health and social care workers; to establish and make provision about a National Institute for Health and Care Excellence; to establish and make provision about a Health and Social Care Information Centre and to make other provision about information relating to health or social care matters; to abolish certain public bodies involved in health or social care; to make other provision about health care.

[http://www.legislation.gov.uk/ukpga/2012/7/pdfs/ukpga\\_20120007\\_en.pdf](http://www.legislation.gov.uk/ukpga/2012/7/pdfs/ukpga_20120007_en.pdf)

Explanatory notes: <http://www.legislation.gov.uk/ukpga/2012/7/notes/contents>

Progress of the Health and Social Care Bill 2010-11 and associated documentation:

<http://services.parliament.uk/bills/2010-11/healthandsocialcare.html>

Health and Social Care Bill as introduced on 19 January 2011:

<http://www.publications.parliament.uk/pa/cm201011/cmbills/132/11132.pdf>

## **WHITE PAPERS**

ISBN: 9780101788120

Great Britain. Department of Health

### **Equity and excellence : liberating the NHS.**

Cm ; 7881

London : DH, 2010

*1-HIBG (Gre)*

The white paper 'Equity and excellence : liberating the NHS' was published on 12 July 2010, setting out the government's strategy for the NHS. This document forms part of a suite of supporting publications, which expand on and seek views on the detail behind key elements of the planned reforms. Responders are invited to comment on the analytical framework. In particular, this includes considerations of whether the identified benefits, costs and risks are suitable, and whether there are any omissions. Responses to the questions in the white paper and its supporting documents should be sent to [NHSwhitepaper@dh.gsi.gov.uk](mailto:NHSwhitepaper@dh.gsi.gov.uk) by 5th October 2010.

White paper:

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/135875/dh\\_117794.pdf.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/135875/dh_117794.pdf.pdf)

Associated documentation:

<https://www.gov.uk/government/publications/liberating-the-nhs-white-paper>

ISBN: 9780101798525

Great Britain. Department of Health

**Healthy lives, healthy people : our strategy for public health in England.**

Cm ; 7985

London : Stationery Office, 2010

*Web publication*

This white paper sets out the government's long-term vision for the future of public health in England. The aim is to create a 'wellness' service (Public Health England) and to strengthen both national and local leadership.

<http://www.official-documents.gov.uk/document/cm79/7985/7985.pdf>

## **NHS FUTURE FORUM REPORTS**

ISBN: 9780215043450

Great Britain. Parliament. House of Commons. Health Committee

Dorrell, Stephen, Chair

**Report of the NHS Future Forum : oral evidence : 16 June 2011 - Professor Steve Field CBE, Sir Stephen Bubb, Julie Moore and Geoff Alltimes CBE  
5 July 2011 - Rt Hon Andrew Lansley CBE MP, Sir David Nicholson KCB CBE  
and Richard Douglas CB.**

House of Commons. Session 2010-2012 ; HC 1248-i and ii

London : Stationery Office, 2012

*Web publication*

[http://www.publications.parliament.uk/pa/cm201012/cmselect/cmhealth/1248/1248i\\_ii.pdf](http://www.publications.parliament.uk/pa/cm201012/cmselect/cmhealth/1248/1248i_ii.pdf)

Associated documentation:

<http://www.parliament.uk/business/committees/committees-a-z/commons-select/health-committee/inquiries/report-of-the-nhs-future-forum/>

Field, Steve Chair

NHS Future Forum

**The NHS Future Forum : summary report : second phase.**

NHS Future Forum

London : DH, 2012

*Web publication*

The NHS Future Forum has given its second set of reports to Health Secretary Andrew Lansley in which it sets out a series of recommendations to improve the quality of patient care and achieve better outcomes. Highlights from the Future Forum's reports, summarised in this document, include integration; education & training; information; and the NHS role in public health. Over four months the Forum listened to more than 12,000 people and attended more than 300 events. In this phase, the Forum set out to listen to more patients and carers and sought more input from local authorities, housing and social care providers.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/152169/dh\\_132085.pdf.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/152169/dh_132085.pdf.pdf)

Associated reports: <http://healthandcare.dh.gov.uk/forum-report/>

Field, Steve, Chair

NHS Future Forum

**NHS Future Forum : summary report on proposed changes to the NHS.**

NHS Future Forum

London : DH, 2011

*HIBG (Nhs)*

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/135149/dh\\_127540.pdf.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/135149/dh_127540.pdf.pdf)

Associated reports:

<https://www.gov.uk/government/publications/nhs-future-forum-recommendations-to-government-on-nhs-modernisation>

## GOVERNMENT RESPONSES TO THE NHS FUTURE FORUM REPORTS

Great Britain. Department of Health.

### **Response to NHS Future Forum's second report.**

London : DH, 2012

*Web publication*

The government has accepted all the NHS Forum's second phase recommendations, published in January 2012. This document is their response to the three workstream reports on the NHS's role in improving the public's health, information, and integration, setting out more detail on how we will take the recommendations forward.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/134604/dh\\_132088.pdf.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/134604/dh_132088.pdf.pdf)

Associated documentation:

<https://www.gov.uk/government/publications/government-response-to-nhs-future-forum-s-second-report>

ISBN: 9780101811323

Great Britain. Department of Health.

### **Government response to the NHS Future Forum report.**

Cm ; 8113

London : DH, 2011

*Web publication*

Following the Government's listening exercise on the Health and Social Care Bill, the NHS Future Forum published their recommendations on the future for NHS modernisation. The Government published its response on 20 June, setting out the changes it intends to make in response to the recommendations.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/152099/dh\\_127719.pdf.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/152099/dh_127719.pdf.pdf)

Great Britain. Department of Health.

### **Government response to the NHS Future Forum report : briefing notes on amendments to the Health and Social Care Bill.**

London : DH, 2011

*Web publication*

This document provides briefing notes on the amendments. The notes describe the purpose and effect of the amendments, following the structure of the Government response.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/152096/dh\\_127880.pdf.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/152096/dh_127880.pdf.pdf)

Associated documentation:

<https://www.gov.uk/government/publications/briefing-notes-on-amendments-to-the-health-and-social-care-bill>

Great Britain. Department of Health

### **Government changes in response to the NHS Future Forum.**

DH, London : 2011

*Web publication*

This list summarises the key changes that the government intends to make, largely structured around the four workstream themes considered by the NHS Future Forum. Some, but not all, of these changes require amendments to the Health and Social Care Bill.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/152102/dh\\_127578.pdf.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/152102/dh_127578.pdf.pdf)

Associated documentation:

<https://www.gov.uk/government/publications/government-response-to-the-nhs-future-forum-report>

NHS Future Forum: <http://healthandcare.dh.gov.uk/category/future-forum/>

## DOCUMENTS FROM 2014, 2013 AND 2012

Great Britain. Department of Health  
NHS England

### **Framework agreement between the Department of Health and NHS England.**

London : DH, 2014

*Web publication*

The purpose of this document is to define the critical elements of the relationship between the Department and NHS England (known in legislation as the National Health Service Commissioning Board). This is the first framework agreement between the Department and NHS England. NHS England is a new organisation which is in its first full year of operation. As such, the agreement will be kept under review (see paragraph 13.5). This document is focused on: how the Department and NHS England will work in partnership to serve patients, the public and the taxpayer; and how both NHS England and the Department discharge their accountability responsibilities effectively.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/280889/20140206\\_DH\\_and\\_NHS\\_England\\_Framework\\_Agreement.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/280889/20140206_DH_and_NHS_England_Framework_Agreement.pdf)

Associated documentation:

<https://www.gov.uk/government/publications/framework-agreement-between-dh-and-nhs-england>

NHS England

### **Putting patients first : business plan for 2014/15-2016/17.**

Leeds : NHS England, 2014

*Web publication*

This is the refreshed business plan for NHS England and it describes the role of the organisation, both as a direct commissioner and as a leader, partner and enabler of the NHS commissioning system. It reaffirms NHS England's commitment to improving the quality of care, improving equality and reducing health inequalities and ensuring that patients and the public are continually involved in decisions about their care and the future of the NHS.

<http://www.england.nhs.uk/wp-content/uploads/2014/04/ppf-1415-1617-wa.pdf>

Associated documentation <http://www.england.nhs.uk/2014/03/31/ppf-business-plan/>

Great Britain. Department of Health

### **The health and care system explained.**

[London] : DH, 2013

*Web publication*

This webpage gives an overview of England's new health and care system, which became fully operational on the 1st April 2013.

<https://www.gov.uk/government/publications/the-health-and-care-system-explained/the-health-and-care-system-explained>

Great Britain. Department of Health

### **Guide to the healthcare system in England, including the Statement of NHS Accountability.**

London : DH, 2013

*Web publication*

This guide explains organisations in the healthcare system and how they work together and includes the Statement of NHS Accountability. It covers: providing care; commissioning care; safeguarding patients; empowering patients and local communities; education and training; supporting providers of care; and the role of the Secretary of State for Health/ The accountability statement explains how decision making works in the NHS and fulfils the commitment set out in principle 7 of the NHS Constitution. The guide will be updated annually.

<https://www.gov.uk/government/publications/guide-to-the-healthcare-system-in-england>

Great Britain. Department of Health

### **The Health and Social Care Act 2012 and associated secondary legislation and guidance.**

London : DH, 2013

*Web publication*

This document is a summary of changes to secondary legislation as a consequence of the Health and Social Care Act 2012. These are high level summaries that are intended to highlight the relevant provisions. They are not intended to be a substitute for the amended legislation itself. ..

<https://www.gov.uk/government/publications/health-and-social-care-act-changes-to-legislation-that-affect-local-authorities>

ISBN: 9780102984392

Great Britain. Department of Health

**Department of Health : annual report and accounts 2012-13 (for the period ended 31 March 2013).**

HC ; 46 (July 2013).

London : Stationery Office, 2013

*Web publication*

The department's annual reports and accounts bring together the financial position and performance for all the organisations within the departmental group including NHS trusts, foundation trusts, arm's length bodies and, for their final year, primary care trusts and strategic health authorities.

<https://www.gov.uk/government/publications/department-of-health-annual-report-and-accounts-2012-to-2013>

Main Estimates 2013-14:

<http://www.parliament.uk/documents/commons-committees/Health/DoHMainEstimates13-14.pdf>

Public Expenditure: Statistical Analyses 2013:

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/223600/public\\_expenditure\\_statistical\\_analyses\\_2013.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/223600/public_expenditure_statistical_analyses_2013.pdf)

Great Britain. Department of Health

**The NHS Constitution : the NHS belongs to us all.**

London : DH, 2013

*Web publication*

This is a revised NHS Constitution following a recent public consultation. It is likely there will be a further consultation later in the year on further changes to the constitution, with the aim of incorporating further recommendations made by Robert Francis QC in his report of the Mid Staffordshire NHS Foundation Trust Public Inquiry.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/170656/NHS\\_Constitution.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/170656/NHS_Constitution.pdf)

Associated documentation:

<https://www.gov.uk/government/publications/the-nhs-constitution-for-england>

Great Britain. Department of Health.

**The mandate : a mandate from the government to the NHS Commissioning Board : April 2014 to March 2016.**

London : DH, 2013

*Web publication*

NHS England is the independent organisation now responsible for managing the budget and the day to day workings of the NHS. It supports clinical commissioning groups to improve patient care. To make sure the taxpayer has a say in how this money is spent, the government provides direction and ambitions for the NHS through 'the Mandate'. By March 2015, the Government expects NHS England to make improvements in the quality of care it offers. They should: 1. help people live well for longer; 2. manage ongoing physical and mental health conditions; 3. help people to recover from episodes of ill health or following injury; 4. make sure people experience better care; 5. provide safe care; 6. free the NHS to innovate; 7. support the NHS to play a broader role in society; and 8. make better use of resources.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/256406/Mandate\\_14\\_15.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/256406/Mandate_14_15.pdf)

Associated documentation:

<https://www.gov.uk/government/publications/nhs-mandate-2014-to-2015>

Great Britain. Department of Health

**The NHS outcomes framework 2014/15.**

London : DH, 2013

*Web publication*

This document sets out the outcomes and corresponding indicators that will be used to hold NHS England to account for improvements in health outcomes, as part of the government's Mandate to NHS England. It builds on the previous versions and contains measures to help the health and care system focus on measuring outcomes. It provides an update on the progress that has been made to develop existing indicators and describes how the NHS Outcomes Framework works in the wider health and care system.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/256456/NHS\\_outcomes.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/256456/NHS_outcomes.pdf)

Associated documentation:

<https://www.gov.uk/government/publications/nhs-outcomes-framework-2014-to-2015>

Great Britain. Department of Health.

**Transition programme plan : the "transition roadmap".**

London : DH, 2013

*Web publication*

This plan tracked the milestones of the Department's Health and Care Transition Programme. The plan was also known as the "Transition Roadmap". It provided transition boards with an overview of the implementation of the reforms, outlined in the Health and Social Care Act 2012, from April 2012 to 31 March 2013, when the programme closed.

<https://www.gov.uk/government/publications/health-and-care-transition-programme-plan>

ISBN: 9780101863827

Great Britain. Department of Health

**Government response to the House of Commons Communities and Local Government Committee eighth report of session 2012-13 : the role of local authorities in health issues.**

Cm ; 8638

London : The Stationary Office, 2013

*Web publication*

This document outlines the Department of Health's response to the Communities and Local Government Committee's report on the role of local authorities in health issues. It addresses the points and issues raised around the transfer of responsibility for public health to local authorities; the role and responsibilities of health and wellbeing boards; and accountability and governance.

<http://www.official-documents.gov.uk/document/cm86/8638/8638.pdf>

Original report:

<http://www.parliament.uk/business/committees/committees-a-z/commons-select/communities-and-local-government-committee/inquiries/parliament-2010/health-issues/>

NHS England

**Planning and delivering service changes for patients.**

Leeds : NHS England, 2013

*Web publication*

This guidance is designed to support Clinical Commissioning Groups and NHS England with the planning, development and assurance of proposals for major service change and reconfiguration. It provides a high level process, sets out good practice, and explains how to assess proposals against the government's "four tests".

<http://www.england.nhs.uk/wp-content/uploads/2013/12/plan-del-serv-chge1.pdf>

Associated documentation: <http://www.england.nhs.uk/2013/12/20/gd-practice-guide/>

ISBN: 9780102983180

NHS England

**NHS Commissioning Board : annual report and accounts 1 October 2012 to 31 March 2013.**

HC ; 338

London : Stationery Office, 2013

*Web publication*

This report covers the six month period from 1 October 2012 to 31 March 2013, representing the first six months of the NHS Commissioning Board.

<http://www.england.nhs.uk/wp-content/uploads/2013/07/rep-acc-jan-mar13.pdf>

Great Britain. Department of Health

**Health and Social Care Act explained.**

London : DH, 2012

*Web publication*

A series of factsheets on the Health and Social Care Act 2012 explain particular topics contained in the Act, including its key themes. They include case studies of the policy in action, or answer frequently asked questions about the topic. The factsheets were first published in October 2011 and have since been updated to reflect the changes made during the Act's Parliamentary passage.

<http://www.dh.gov.uk/health/2012/06/act-explained/>



Great Britain. Department of Health

**The functions of clinical commissioning groups.**

London : DH, 2012

*Web publication*

This document is an update to 'The Functions of GP Commissioning Consortia : A Working Document' published in March 2011, to reflect the final content of the Health and Social Care Act 2012, and act as a helpful summary to which busy GPs and emerging CCGs can refer.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/152346/dh\\_134569.pdf.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/152346/dh_134569.pdf.pdf)

Great Britain. Department of Health

**Liberating the NHS : developing the healthcare workforce : from design to delivery.**

London : DH, 2012

*Web publication*

This document sets out the policy framework for a new approach to workforce planning and the education and training of the health and public health workforce. It builds on the responses to earlier public consultation and the advice of the NHS Future Forum.

<https://www.gov.uk/government/publications/developing-the-healthcare-workforce-from-design-to-delivery>

Great Britain. Department of Health.

**The mandate : a mandate from the government to the NHS Commissioning Board : April 2013 to March 2015.**

London : DH, 2012

*Web publication*

This mandate is the first between the government and the NHS Commissioning Board. It sets out the ambitions for the health service for the next two years. It will be used to measure the NHS on key objectives, including: better standards of care for elderly patients; better diagnosis, treatment and care for people with dementia; more personalised care for women throughout pregnancy, childbirth and the postnatal period; gathering of patient feedback through the friends and family test; online booking and other GP services by 2015; and greater transparency around the performance of local NHS services.

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/256497/13-15\\_mandate.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/256497/13-15_mandate.pdf)

Associated documentation: <https://www.gov.uk/government/publications/the-nhs-mandate>

Great Britain. Department of Health

**Directors of public health in local government.**

London : DH, 2012

*Web publication*

This guidance provides information about the role of the director of public health in the new public health system. It describes both the statutory and non-statutory elements of the role, and outlines arrangements that are designed to allow local authorities to have confidence in the director of public health appointments they make, and to build on their own good practice while meeting national requirements.

<https://www.gov.uk/government/publications/role-of-the-director-of-public-health-in-local-authorities>

NHS Commissioning Board

**Towards establishment : creating responsive and accountable clinical commissioning groups.**

[Leeds] : NHS Commissioning Board, 2012

*Web publication*

This guidance is intended to support GP practices, and all those with whom they work as they put in place the arrangements they need in order to apply to the NHS Commissioning Board to be established as a clinical commissioning group.

<http://www.england.nhs.uk/wp-content/uploads/2012/09/towards-establishment.pdf>

NHS Commissioning Board

**Developing commissioning support : towards service excellence.**

[Leeds] : NHS Commissioning Board, 2012

*Web publication*

This document outlines how to develop support for clinical commissioning groups, with a focus on the non-clinical elements of commissioning.

<http://www.england.nhs.uk/wp-content/uploads/2012/01/NHSCBA-02-2012-8-Guidance-Developing-commissioning-support-Towards-service-excellence.pdf>

NHS Commissioning Board

**Wave 1 CCGs lessons learnt report.**

Leeds : NHS Commissioning Board, 2012

*Web publication*

This report sets out the experiences of the 35 clinical commissioning groups applying for authorisation in the "first wave". The purpose of the document is to present the "lessons learnt", with the aim to: ensure that each CCG is able to efficiently allocate their available time and resource such that their chances of having all of their submitted evidence "found" by document assessors are maximised; and help document assessors do their job in a timely, thorough and robust way, thus maximising the CCGs' chances of having as many as possible of the radio buttons for the 119 required criteria turned "green" in desk top assessment.

<http://www.england.nhs.uk/wp-content/uploads/2012/09/wave-1-lessons-learnt.pdf>

Associated documentation:

<http://www.england.nhs.uk/resources/resources-for-ccgs/auth/>

## **OTHER COMMENTARIES & GUIDES**

Appleby, John, et al.

The King's Fund

**How is the health and social care system performing? : quarterly monitoring report April.**

London : The King's Fund, 2014

*Web publication*

This is the first digital edition of the Quarterly Monitoring Report (QMR). The new QMR still includes a shorter PDF of the headline findings this quarter, but also features digital versions of the survey results, interactive performance data charts and an infographic with the key findings for this quarter. The Quarterly Monitoring Report (QMR) reveals the views of NHS trust finance directors and clinical commissioning group finance leads on the productivity challenges they face, and examines some key NHS performance data.

Headlines <http://qmr.kingsfund.org.uk/downloads/quarterly-monitoring-report-april-2014.pdf>

<http://qmr.kingsfund.org.uk/2014/11/>

Previous editions:

<http://www.kingsfund.org.uk/projects/quarterly-monitoring-report>

ISBN: 9780215068101

Hodge, Margaret, Chair

Great Britain. Parliament. House of Commons. Committee of Public Accounts

**Public expenditure on health and social care : seventh report of session 2013-14 : report, together with formal minutes relating to the report.**

House of Commons papers. Session 2013-2014 ; HC 793

London : Stationery Office, 2014

*Web publication*

The need for the NHS to achieve four per cent year-on-year efficiency gains has been the dominant issue for the Health Committee throughout the Parliament as it has looked at expenditure issues. This report indicates that the straightforward savings have been achieved, but that little progress has been made on transformative change. The conclusions of the report include: the NHS has provided savings during the first two years of the programme, but that there is a question mark about how sustainable they are; the straightforward savings which are possible have now been made; and the transformation of care that will be required to make the NHS sustainable in the future and able to deal with increasing demand has yet to take place. The key question raised in the evidence asks what the money saved has been spent on; the answer is not transparent, and more needs to be done to demonstrate what new activity has been achieved due of the gains of the efficiency process.

<http://www.publications.parliament.uk/pa/cm201314/cmselect/cmhealth/793/793.pdf>

Government response:

<https://www.gov.uk/government/publications/report-on-public-expenditure-on-health-and-social-care-response>

ISBN: 9780215068835

Dorrell, Stephen. Chair

Great Britain. Parliament. House of Commons. Health Committee

**Public Health England : eighth report of session 2013-14 : report, together with formal minutes relating to the report.**

House of Commons papers. Session 2013-14 ; HC 840 (February 2014).

London : The Stationery Office, 2014

*Web publication*

This report examines how Public Health England (PHE) has set about establishing its policy priorities and programme of work. It concludes that PHE has not yet shown that it is able to be an effective champion of the nation's public health interests.

<http://www.publications.parliament.uk/pa/cm201314/cmselect/cmhealth/840/840.pdf>

Associated documentation <http://www.parliament.uk/business/committees/committees-a-z/commons-select/health-committee/news/14-02-26-phe-report-published/>

Wood, Jonathan *and* Heath, Sarah

Great Britain. Parliament. House of Commons. Library

**Clinical commissioning group (CCG) funding.**

Standard note ; SN06779 (13January 2014).

[London] : House of Commons, Library, 2014

*Web publication*

This note provides information on the resource allocation formula for distributing funding for health services in England to local commissioning groups: CCGs. It includes the historical use of allocation formulas; the formula that was proposed and rejected for the 2013-14 funding round; and information information on the new CCG allocations for 2014-15 and 2015/16.

<http://www.parliament.uk/briefing-papers/SN06779.pdf>

Robertson, Ruth, et al.

The King's Fund *and* Nuffield Trust

**CCGs one year on : member engagement and primary care development.**

[London] : The King's Fund and Nuffield Trust 2014

*Web publication*

This slideshow presents the key findings from a survey of GPs in six clinical commissioning groups (CCGs). The survey was conducted by the Nuffield Trust and The King's Fund as part of a three year project, looking to understand how the clinical commissioning groups at the heart of the NHS reforms are developing.

<http://www.kingsfund.org.uk/sites/files/kf/media/clinical-commissioning-groups-one-year-on.pdf>

Cumberlege, Julia, Baroness Cumberlege, Chair

All-Party Parliamentary Health Group

**A guided tour of the new NHS.**

London : APHG, 2013

*Web publication*

This collection of essays contains contributions from many senior figures in the health service discussing how the new NHS is operating and the remaining challenges facing the new systems and structures. The essay collection will provide an introductory guide to the new bodies and structures in the words of the people leading them.

[http://www.healthinparliament.org.uk/sites/site\\_aphg/files/report/599/fieldreportdownload/aphg-guidedtourofthenewnhswebsite.pdf](http://www.healthinparliament.org.uk/sites/site_aphg/files/report/599/fieldreportdownload/aphg-guidedtourofthenewnhswebsite.pdf)

British Medical Association. Health Policy and Economic Research Unit

**NHS England (formerly the NHS Commissioning Board).**

Understanding the reforms ; (April 2013)

London : BMA, 2013

*Web publication*

The role of NHS England in the reformed NHS is twofold. First, it forms part of the agenda to rationalise commissioning structures following the abolition of strategic health authorities (SHAs) and primary care trusts (PCTs). Second, the establishment of NHS England forms part of a wider agenda to devolve more responsibility away from ministerial level and to create an "independent and accountable" board that will be responsible for running the NHS on a day-to-day basis.

<http://bma.org.uk/->

[/media/Files/PDFs/Working%20for%20change/Shaping%20healthcare/Understanding%20the%20NHS%20reforms/understandnhsreforms\\_NHSEngland\\_apr2013.pdf](http://bma.org.uk/-/media/Files/PDFs/Working%20for%20change/Shaping%20healthcare/Understanding%20the%20NHS%20reforms/understandnhsreforms_NHSEngland_apr2013.pdf)

British Medical Association. Health Policy and Economic Research Unit

**The Health and Social Care Act 2012.**

Understanding the reforms ; (April 2013)

London : BMA, 2013

*Web publication*

The Health and Social Care Act 2012 received Royal Assent on 27 March 2012, legislating for the NHS reforms first set out in the white paper, 'Equity and Excellence: Liberating the NHS', published in July 2010. It came into force on 1 April 2013.

<http://bma.org.uk/>-

[/media/Files/PDFs/Working%20for%20change/Shaping%20healthcare/Understanding%20the%20NHS%20reforms/understandnhsreforms\\_HSCA\\_apr2013.pdf](http://bma.org.uk/media/Files/PDFs/Working%20for%20change/Shaping%20healthcare/Understanding%20the%20NHS%20reforms/understandnhsreforms_HSCA_apr2013.pdf)

British Medical Association. Health Policy and Economic Research Unit

**Choice and any qualified provider.**

Understanding the reforms ; (April 2013)

London : BMA, 2013

*Web publication*

Like the previous government, the Coalition sees patient choice not only as a way to improve the patient experience but also as a lever for competition. In theory, patients choose the best services, encouraging poorer quality services to improve in order to compete for patients and funding, thereby driving up standards across the NHS. Competition and choice are now, therefore, inextricably linked.

<http://bma.org.uk/>-

[/media/Files/PDFs/Working%20for%20change/Shaping%20healthcare/Understanding%20the%20NHS%20reforms/understandnhsreforms\\_choice\\_aqp\\_apr2013.pdf](http://bma.org.uk/media/Files/PDFs/Working%20for%20change/Shaping%20healthcare/Understanding%20the%20NHS%20reforms/understandnhsreforms_choice_aqp_apr2013.pdf)

The King's Fund

**Health Select Committee inquiry : implementation of the Health and Social Care Act 2012 : evidence from The King's Fund.**

London : The King's Fund, 2013

*Web publication*

This written submission considers the readiness of the NHS to move to the new system of commissioning and implement the other major health reforms introduced by the Act. It argues that good progress has been made in establishing the new commissioning model, but highlights ongoing concerns about uncertainty and confusion, not helped by a lack of guidance in the system.

<http://www.kingsfund.org.uk/publications/briefings-and-responses/evidence-submission-health-social-care-act-2012>

Select Committee's web pages:

<http://www.parliament.uk/business/committees/committees-a-z/commons-select/health-committee/inquiries/parliament-2010/13-03-15-implementation-of-the-health-and-social-care-act-2012/>

ISBN: 9781909029149

Naylor, Chris, et al.

The King's Fund and Nuffield Trust

**Clinical commissioning groups : supporting improvement in general practice?**

London : The King's Fund, 2013

*HOHCC (Kin)*

The King's Fund and the Nuffield Trust are working together to assess the implementation and impact of clinical commissioning groups. Between 2012 and 2015, we are following the evolution of clinical commissioning in six case-study sites and will publish the findings annually. This first report is based on fieldwork conducted before CCGs had become fully authorised. It provides an overview of developments through to March 2013, plus an assessment of the opportunities and challenges ahead.

<http://www.kingsfund.org.uk/publications/clinical-commissioning-groups>

ISBN: 9781909029200

Humphries, Richard and Galea, Amy  
The King's Fund

**Health and wellbeing boards : one year on.**

London : The King's Fund, 2013

*HIBG:HAB (Kin)*

Health and wellbeing boards are an important feature of the reforms introduced by the government in the Health and Social Care Act 2012. Unlike other aspects of the changes, the creation of these new bodies has been widely welcomed and enjoys cross-party support. All upper-tier local authorities set up boards in shadow form from April 2012, and these became fully operational on 1 April 2013.

[http://www.kingsfund.org.uk/sites/files/kf/field/field\\_publication\\_file/health-wellbeing-boards-one-year-on-oct13.pdf](http://www.kingsfund.org.uk/sites/files/kf/field/field_publication_file/health-wellbeing-boards-one-year-on-oct13.pdf)

Associated documentation:

<http://www.kingsfund.org.uk/publications/health-and-wellbeing-boards-one-year-on>

Powell, Thomas and Heath, Sarah

Great Britain. Parliament. House of Commons. Library

**The reformed health service, and commissioning arrangements in England.**

Standard note ; SN06749 (1 November 2013)

London : House of Commons, Library, 2013

*Web publication*

This note provides an overview of the major reforms to the health service in England under the Health and Social Care Act 2012, and introduced on 1 April 2013. It shows the key funding, commissioning and accountability structures under the old and new systems, and focuses on new health service commissioning arrangements and the formal powers and duties of NHS England and clinical commissioning groups (CCGs) under the 2012 Act.

<http://www.parliament.uk/briefing-papers/SN06749.pdf>

ISBN: 9780215055439

Betts, Clive, Chair

Great Britain. Parliament. House of Commons. Communities and Local Government Committee

**The role of local authorities in health issues : eighth report of session 2012-13.**

**Volume I : report, together with formal minutes, oral and written evidence.**

House of Commons papers. Session 2012-13 ; HC 694

London : The Stationary Office, 2013

*Web publication*

The Committee welcomes the return to local government of the responsibility to improve the health and wellbeing of local people. It urges councils to fully grasp this opportunity by using every lever at their disposal to adopt a holistic approach to public health. The report also, however, raises concerns over the complex accountability mechanisms of the reformed system. In particular, it argues it is not clear who will be in charge in the event of a health emergency and urges the government to set out clearly and unambiguously the lines of responsibility. Finally, the report notes a perverse incentive in the funding formula and calls for it to be reviewed.

<http://www.publications.parliament.uk/pa/cm201213/cmselect/cmcomloc/694/694.pdf>

**Volume II :**

<http://www.publications.parliament.uk/pa/cm201213/cmselect/cmcomloc/694/694vw.pdf>

Associated documentation:

<http://www.parliament.uk/business/committees/committees-a-z/commons-select/communities-and-local-government-committee/inquiries/parliament-2010/health-issues/>

Government response:

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/235925/8638.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/235925/8638.pdf)

ISBN: 9780215055323

Hodge, Margaret, Chair

Great Britain. Parliament. House of Commons. Committee of Public Accounts.

**Department of Health : progress in making NHS efficiency savings : thirty-ninth report of session 2012-13.**

Report, together with formal minutes, oral and written evidence.

House of Commons papers ; HC 865 (13 March 2013)

London : The Stationery Office, 2013

*Web publication*

This Public Accounts Committee report examines progress to date in making NHS efficiency savings, on the basis of evidence from the Department of Health.

<http://www.publications.parliament.uk/pa/cm201213/cmselect/cmpubacc/865/865.pdf>

Associated documentation:

<http://www.parliament.uk/business/committees/committees-a-z/commons-select/public-accounts-committee/news/nhs-efficiency-savings-report/>

Cawston, Thomas, et al.

Reform

**Flat-lining : lack of progress on NHS reform.**

Reform Ideas ; 6 (June 2013)

[London] : Reform, 2013

*Web publication*

This report collects available evidence on the progress of NHS reform in this Parliament. It highlights concerns on the increase in A&E visits and emergency admissions, the rise in A&E waiting times, and an increase in hospital occupancy rates.

[http://reform.co.uk/resources/0000/0813/Flat\\_lining.pdf](http://reform.co.uk/resources/0000/0813/Flat_lining.pdf)

ISBN: 9780716320616

Wilson Craw, Dan and Edobor, Martin, Editors

Young Fabians

**Irreversible? : health and social care policy in a post-coalition landscape.**

London : Fabian Society, 2013

This collection of essays seeks to ask if the health policies of the coalition are irreversible. They highlight the complexity of issues throughout the system ranging from the measurement of NHS performance to the creation of a robust incentive system for prevention of illness.

<http://d3n8a8pro7vhmx.cloudfront.net/youngfabians/pages/56/attachments/original/1393160664/healthpamphletdigital.pdf?1393160664>

All Party Parliamentary Group Primary Care and Public Health

**Were massive reforms necessary to save the NHS? : inquiry into the NHS health reforms.**

London : Dods, 2012

*Web publication*

This report is the result of an all party parliamentary group inquiry into the necessity of the NHS reforms and the introduction of the Health and Social Care Bill. It argues that the NHS was not in need of overall restructuring and highlights concerns about the cost of the reforms, at a time when the NHS has been required to make efficiency savings. It also makes recommendations on how to minimise disruption for patients and maintain quality of care over the course of the NHS reforms.

[http://www.dodsmonitoring.com/downloads/Misc\\_Files/APPGprimarycarepublichealth.pdf](http://www.dodsmonitoring.com/downloads/Misc_Files/APPGprimarycarepublichealth.pdf)

Timmins, Nicholas

Institute for Government and The King's Fund

**Never again? : the story of the Health and Social Care Act 2012.**

London : Institute for Government and The King's Fund, 2012

*Web publication*

This commentary

<http://www.kingsfund.org.uk/publications/never-again>

ISBN: 9781909029026

Gregory, Sarah, et al., editors

The King's Fund

**Health policy under the coalition government : a mid-term assessment.**

London : The King's Fund, 2012

*HIB (Kin)*

Written halfway through the 2010–2014 parliament, this review considers how the NHS is performing under the coalition government, following on from The King's Fund's major review of NHS performance from 1997 to 2010. It examines the policies introduced by the coalition government, assesses how far these will address current and emerging performance issues, and identifies further action needed.

<http://www.kingsfund.org.uk/publications/health-policy-under-coalition-government>

ISBN: 9781857176353

Humphries, Richard, et al.

The King's Fund

**Health and wellbeing boards : system leaders or talking shops?**

London : The King's Fund, 2012

*HIBG:HAB (Kin)*

The creation of health and wellbeing boards is one aspect of the NHS reforms that enjoys overwhelming support. The boards offer new and exciting opportunities to join up local services, create new partnerships with GPs, and deliver greater democratic accountability. Our view is that the creation of health and wellbeing boards will not automatically remove many of the barriers to effective joined-up care. For boards to succeed, a stronger national framework for integrated care is needed with a single outcomes framework to promote joint accountability.

<http://www.kingsfund.org.uk/publications/health-and-wellbeing-boards>

Campbell, Fiona

Local Government Association

**Get in on the Act : Health and Social Care Act 2012.**

London : LGA, 2012

*Web publication*

This publication aims to provide readers with an introduction to the Health and Social Care Act 2012 and to summarise the main issues and principles enshrined in the supporting regulations and guidance, including the implications for local government.

[http://www.local.gov.uk/c/document\\_library/get\\_file?uuid=e0e0321b-49f1-4ec2-9e73-5ba379e0787b&groupId=10180](http://www.local.gov.uk/c/document_library/get_file?uuid=e0e0321b-49f1-4ec2-9e73-5ba379e0787b&groupId=10180)

Checkland, Kath, et al.

Policy Research Unit in Commissioning and the Healthcare System

**Exploring the early workings of emerging clinical commissioning groups : final report.**

London : PRU, 2012

*Web publication*

This report presents the findings from a study of developing clinical commissioning groups (CCGs) in England. The aim of the study was to explore the early experiences of emerging clinical commissioning groups as they set themselves up as 'Pathfinders' and moved towards authorisation, investigating the factors that had affected their development and drawing out lessons for the future.

[http://blogs.ishtm.ac.uk/prucomm/files/2012/11/Pathfinder-project-final-report-revised-v10-combined-post-peer-review-FINAL-correct-date\\_titles.pdf](http://blogs.ishtm.ac.uk/prucomm/files/2012/11/Pathfinder-project-final-report-revised-v10-combined-post-peer-review-FINAL-correct-date_titles.pdf)

Executive summary:

<http://blogs.ishtm.ac.uk/prucomm/files/2012/11/Standalone-Exec-summary1.pdf>

## JOURNAL ARTICLES

Carlisle, Daloni

### **And so how was it for you?**

*Health Service Journal 2013; 123 (6376): 24-27 (6 December 2013)*

As the dust settles on the initial explosions of the Health and Social Care Act reforms, HSJ's latest roundtable brought together leading figures from health to thrash out the broader and finer points that have arisen in the first six months of change. [Introduction]

Smith, David

### **The CCG journey so far.**

*Health Service Journal 2013; 123 (6372): 17 (25 October 2013)*

David Smith assesses how well CCGs have managed their first six months, and with winter pressure building looks towards what the future hold for the groups. [Introduction]

Klein, Rudolf

### **The twenty-year war over England's National Health Service : a report from the battlefield.**

*Journal of Health Politics, Policy and Law 2013; 38 (4): 849-869 (August 2013)*

This article analyzes the latest battle in the twenty-year war to change England's National Health Service (NHS), starting with the internal market reforms introduced by the Thatcher government and now carried one step farther by David Cameron's coalition government. The government's program of change is characterized by (1) its wide scope and the organizational upheavals involved and (2) the fact that it is being introduced at a time when the NHS faces unprecedented fiscal pressures. The legislation faced strong political, public, and professional hostility both from those who saw it as a crime against the founding principles of the NHS and from those who saw it as a disruptive blunder that created more problems than it solved. This article asks three questions. Why did the coalition government embark on a policy course guaranteed to lose it votes? How will the much-amended legislation work out in practice: what are the risks and uncertainties? What will be the program's impact: will it, like previous waves of change, disappoint both the prophets of doom and the visionaries of transformation? The conclusion drawn is that the essential, defining characteristics of the NHS are not under threat. It continues to be a publicly funded service, freely available to all. It is not being privatized. But it is moving toward the kind of pluralistic system that would have been established by Britain's last, wartime coalition government, had not Aneurin Bevan nationalized the hospital service in 1948. [Abstract]

Maynard, Alan

### **NHS reform : the risks of jumping on the spot again.**

*Journal of the Royal Society of Medicine 2013; 106 (5): 164-166 (May 2013)*

Tragic events in hospitals in Mid-Staffordshire and Morecambe Bay, together with another round of structural "re-disorganization" have fuelled speculation about the long-term viability of the National Health Service (NHS). Elected to have no "top down" reform of the NHS the coalition government has implemented radical evidence-free reforms focused on the creation of a quango, NHS England, with responsibility for strategic system management and the commissioning of specialist services. One hundred and fifty-two primary care trusts have been replaced by 210 clinical commissioning groups managed by general practitioners transmogrified into managers of 60 per cent of the NHS budget. The legislation underpinning these changes is complex and has created speculation that healthcare provision and perhaps its finance may be privatized. Privatization is likely to sustain the inefficiencies of the NHS, with potentially much greater inequity in access to patient care. Let's compare the actual performance of each system, while bearing in mind the ideals of each of the protagonists. In both the provision and finance of healthcare, public and private systems often have common failings. [Abstract]

Edwards, Nigel

The King's Fund

### **Implementation of the Health and Social Care Act. [Editorial]**

*BMJ 2013; 346 (7902): 5 (6 April 2013)*

The author of this editorial, a Senior Fellow at The King's Fund, reflects on implementation of the 2012 Act. The NHS and public health system is dogged by financial pressures, role uncertainty, and gaps in leadership. [KJ]



Lewis, Richard

**Starting the treatment.**

*Public Finance 2013; (4): 23-26 (April 2013)*

From April 1 [2013], the health service embarks on an uncertain journey as the biggest structural reforms for decades get under way. So what are the risks and opportunities ahead? [Introduction]

<http://www.publicfinance.co.uk/features/2013/04/nhs-reforms-starting-the-treatment/>

Hunter, David and Le Grand, Julian

**Will 1 April mark the end of the NHS?**

*BMJ 2013; 346 (7901): 14-15 (30 March 2013)*

The government's changes to the NHS in England come into force on 1 April [2013]. David Hunter argues that they will result in creeping prioritisation and destroy the public service ethos, but Julian Le Grand thinks that more competition will improve the quality of care. [Introduction]

Iacobucci, Gareth

**More than a third of GPs on CCG boards have conflicts of interest.**

*BMJ 2013; 346 (7899): 4 (16 March 2013)*

On the eve of one of the biggest upheavals in the history of the NHS, Gareth Iacobucci looks at the conflicts at the heart of clinical commissioning groups. [Introduction]

Dixon, Michael

**Clinically led commissioning : joyous liberation or here we go again?**

*Journal of the Royal Society of Medicine 2012; 105 (5): 217-220 (May 2012)*

Clinically led commissioning had its roots in the last Conservative Government with the introduction of fundholding. This empowered individual GPs and practices to introduce new local services and to put pressure on hospitals to do better. Yet it had flaws. It pitched GP practice against GP practice and complex patients became 'unprofitable' and sometimes fell between the cracks in the service. It was also professionally compromising because the less that a practice spent on services for its patients, the more income the GPs in those practices were able to take home. Many fundholders, however, were committed to improving care for their patients but, compared to current reforms, it was possibly too unambitious as it did not affect most patients on a GP list and hardly scratched the surface of improving local health. [Introduction]

Reynolds, Lucy and McKee, Martin

**Opening the oyster : the 2010-11 NHS reforms in England.**

*Clinical Medicine 2012; 12 (2): 128-132 (April 2012)*

This opinion paper briefly considers the credibility of the announced rationale behind the current extensive reform of the NHS in light of recent research evidence about performance of the system. The paper outlines the authors' views of the history of the reform, which originates in a set of documents, produced in the late 1980s by the Centre for Policy Studies, which aimed to introduce private sector involvement throughout the NHS, and a brief report by a consultant for a health maintenance organisation in the USA. Tracking of the pro-private sector changes in the NHS demonstrates that the bill is a major step in the implementation of NHS privatisation and also delineates a roadmap for coming developments, which have been explained to corporate providers and investors seeking new profit opportunities but have not yet been debated openly with the electorate in the UK. [Abstract]

## WEB RESOURCES

### **BMA – the structure of the new NHS**

<http://bma.org.uk/working-for-change/doctors-in-the-nhs/nhs-structure-new>

### **Department of Health**

<http://www.dh.gov.uk>

- **Health and Social Care Act: fact sheets**  
<https://www.gov.uk/government/publications/health-and-social-care-act-2012-fact-sheets>
- **Diagram of the health & care system from April 2013**  
<http://healthandcare.dh.gov.uk/system-overview-diagram/>

### **The King's Fund**

- **An alternative guide to the new NHS in England**  
<http://www.kingsfund.org.uk/projects/nhs-65/alternative-guide-new-nhs-england>
- **NHS reform - health topic**  
<http://www.kingsfund.org.uk/topics/nhs-reform>
- **Health and wellbeing boards directory**  
[http://www.kingsfund.org.uk/current\\_projects/health\\_and\\_wellbeing\\_boards\\_making\\_them\\_work/hwb\\_map.html](http://www.kingsfund.org.uk/current_projects/health_and_wellbeing_boards_making_them_work/hwb_map.html)

### **Mills and Reeve - Health commissioning portal.**

<http://www.gpcommissioningportal.co.uk/>

### **NHS Clinical Commissioners**

<http://www.nhscc.org/>

### **NHS commissioning community network - NHS Networks**

<http://www.networks.nhs.uk/nhs-networks/clinical-commissioning-community>

### **NHS England**

<http://www.england.nhs.uk/>

- **CCG Learning Network**  
<http://www.england.nhs.uk/ccgln/>
- **Clinical commissioning group map**  
<http://www.england.nhs.uk/resources/ccg-maps/>

### **The NHS in England - NHS Choices**

<http://www.nhs.uk/NHSEngland/thenhs/about/Pages/overview.aspx>

### **NHS Trust Development Authority**

<http://www.ntda.nhs.uk/>

### **Nuffield Trust - NHS reform**

<http://www.nuffieldtrust.org.uk/our-work/nhs-reform>

### **Primary Care Commissioning**

<http://www.pcc.nhs.uk/>

### **Regional Voices – changes in health and care**

<http://www.regionalvoices.org/changes>

### **Royal College of Nursing - navigating the new NHS landscape**

[http://www.rcn.org.uk/support/navigating\\_the\\_new\\_nhs](http://www.rcn.org.uk/support/navigating_the_new_nhs)